

# Non-monotonic Reasoning

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Colloquium of the Boole Centre for Research in Informatics  
University College Cork, July 2003

## Contents

- What is non-monotonic reasoning?
- Non-monotonic reasoning with logic programs.
- A domain-theoretic perspective.

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## What is non-monotonic reasoning?

Inspired by commonsense reasoning.

... acting under incomplete knowledge ...

... jumping to conclusions ...

Tweety is a bird, hence flies.

But you may find out later that it is a penguin ...

Seek abstract (high-level) knowledge representation and reasoning formalisms suitable for this kind of reasoning.

## Different formalisms for NMR ...

Axiomatic approaches (Makinson; Kraus, Lehmann, Magidor) e.g.

supraclassicality:  $\frac{X \vdash \alpha}{X \sim \alpha}$

cautious monotonicity:  $\frac{X \sim \alpha \quad X \sim \beta}{X, \alpha \sim \alpha}$

(monotonicity:  $\frac{X \vdash \alpha}{X, \beta \vdash \alpha}$ )

(developed relatively late)

modality for *belief* (Moore's Autoepistemic Logic)

second-order approaches (McCarthy's Circumscription)

## Default Logic (Reiter, 1980)

(propositional case,  $F, G, H$  formulae)

default:  $\frac{F:G}{H}$       “if  $F$ , and if  $G$  is possible, then  $H$ ”

$\Delta$  set of defaults.  $E$  is called a *default extension* of  $\Delta$  if  $E$  is a minimal logically closed theory (set of formulae) satisfying:  
whenever  $E \models F$  and  $H$  is consistent with  $E$ , then  $H \in E$ .

$$\frac{\text{bird} : \neg\text{penguin}}{\text{flies}}$$

## NMR with logic programs

Horn program: set of CNF formulae (clauses)  $p \vee \neg q_1 \vee \dots \vee \neg q_n$

written:  $p \leftarrow q_1, \dots, q_n$

Set of *atoms* inferred depends monotonically on program.

procedurally (Prolog):  $p \leftarrow r$  infers  $\neg p$

after addition of  $r \leftarrow$  we infer  $p$

nonmonotonic behaviour of negation

*closed world assumption*

*negation as “(finite) failure to prove it”*

## Stable models

next step: allow negation in clauses:  $p \leftarrow q_1, \dots, q_n, \neg r_1, \dots, \neg r_m$ .  
(*normal* logic program)

Intended semantics approach: what *should* it be? (deviating from Prolog)

Interpret each clause as *default*

$$\frac{q_1 \wedge \dots \wedge q_n : \neg(r_1 \vee \dots \vee r_m)}{p}.$$

Default extensions of a program are exactly the (logical closures of the) *stable models* of a program (Gelfond & Lifschitz 1991).

flies  $\leftarrow$  bird,  $\neg$ penguin

## Stable models: fixed point characterization

Horn program  $P$ ,  $I$  set of atoms (*interpretation*):

$$T_P(I) = \{p \mid \exists(p \leftarrow p_1, \dots, p_n) \in P. \forall i. p_i \in I\}.$$

$T_P$  Scott-continuous, monotonic, least fixed point  $\text{fix}(T_P) = \bigcup T_P^n(\emptyset)$ .

$\text{fix}(T_P)$  is *least model* of  $P$ .

normal program  $P$ : Set  $P/I$  to be the Horn program consisting of all

$p \leftarrow p_1, \dots, p_n$  generated from all

$p \leftarrow p_1, \dots, p_n, \neg q_1, \dots, \neg q_m$  with  $\forall i. q_i \notin I$ .

Stable models characterized by:  $I = \text{fix}(T_{P/I})$  ( $= \text{GL}_P(I)$ ).

$\text{GL}_P$  antitonic (not monotonic in general).

flies  $\vee$  penguin  $\leftarrow$  bird

## Answer sets

Syntactic extension:  $p_1 \vee \dots \vee p_k \leftarrow q_1 \wedge \dots \wedge q_m \wedge \neg r_1 \wedge \dots \wedge \neg r_n$

written:  $p_1, \dots, p_k \leftarrow q_1, \dots, q_m, \neg r_1, \dots, \neg r_n$ .

$I$  interpretation (set of atoms),  $P$  program.  $P/I$  defined as before, resulting in program with rules of the form  $p_1, \dots, p_k \leftarrow q_1, \dots, q_m$ .

These have minimal models  $\text{Min}(P/I)$ .

$I$  *answer set* if  $I \in \text{Min}(P/I)$ .



## Coherent algebraic cpos

(We will take a detour and will come back to NMR later.)

*cpo*: directed complete partial order with bottom  $(D, \sqsubseteq)$

$c \in \mathbf{K}(D)$  (compact) iff  $(\forall A \text{ directed})(d \sqsubseteq \bigsqcup A \implies (\exists a \in A)d \sqsubseteq a)$

*cpo algebraic*:  $(\forall x)(x = \bigsqcup(x \downarrow \cap \mathbf{K}(D)))$

*Scott topology*: base  $\{\uparrow c \mid c \in \mathbf{K}(D)\}$

*coherent*: finite intersections of compact-opens are compact-open

Examples: Finite posets with bottom. Powersets.  $\mathbb{T}^\omega$ .

# Plotkin's $T^\omega$

blackboard

## Smyth powerdomain as ideal completion

$X, Y \subseteq \mathcal{K}(D)$ .  $X \sqsubseteq^\# Y$  iff  $(\forall y \in Y)(\exists x \in X)(x \sqsubseteq y)$

Smyth powerdomain of a coherent algebraic cpo: proper ideal completion of the set of all finite subsets of  $D$ , ordered by  $\sqsubseteq^\#$ .

Used for modelling nondeterminism in domain theory.

In the following:  $(D, \sqsubseteq)$  coherent algebraic domain.

## Logic RZ

(Rounds & Zhang, 2001)

*clause*  $X$ : finite subset of  $\mathcal{K}(D)$

$w \in D$ :  $w \models X$  iff  $(\exists x \in X)(x \sqsubseteq w)$ .

*theory*  $T$ : set of clauses.

$w \models T$  iff  $(\forall X \in T)(w \models X)$ .

$T \models X$  iff  $(\forall w \in D)(w \models T \implies w \models X)$ .

## Logic RZ

Proof theory: (WLP'02)

$$\overline{\{\perp\}}$$

$$\frac{X; \quad a \in X; \quad y \sqsubseteq a}{\{y\} \cup (X \setminus \{a\})}$$

$$\frac{X; \quad y \in K(D)}{\{y\} \cup X}$$

$$\frac{X_1 \quad X_2; \quad a_1 \in X_1 \quad a_2 \in X_2}{\text{mub}\{a_1, a_2\} \cup (X_1 \setminus \{a_1\}) \cup (X_2 \setminus \{a_2\})}$$

Logic RZ is compact.

## Smyth powerdomain via the logic RZ

(Rounds & Zhang 2001)

The logically closed theories are the ideals under  $\sqsubseteq^\#$ .

Smyth powerdomain: consistent closed theories under set-inclusion.

## Relation to Formal Concept Analysis (FCA)

(FCA: tool used in data mining and analysis; Ganter & Wille 1999)

$G$  set of objects;  $M$  set of attributes.  $C \subseteq G \times M$  *formal context*.

$A \subseteq G$  then  $A' = \{m \in M \mid (\forall g \in A)(g, m) \in C\}$ .

$B \subseteq M$  then  $B' = \{g \in G \mid (\forall m \in B)(g, m) \in C\}$ .

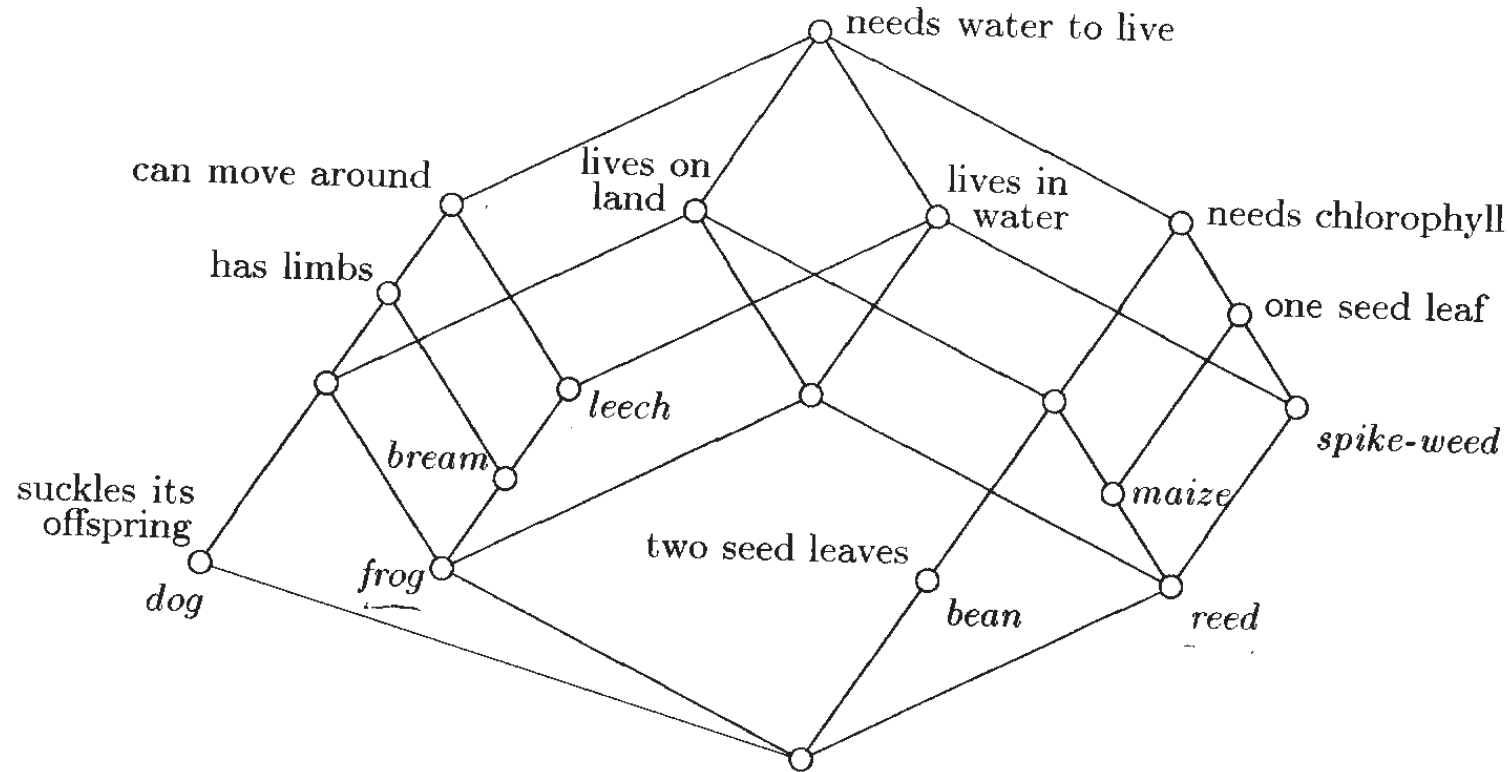
*Formal concept*: Pair  $(A, B)$  with  $A' = B$ ,  $A = B'$ .

Equivalently: All  $(B', B'')$  for  $B \subseteq M$ .

*Formal concept lattice*:

complete lattice of all concepts ordered by  $\supseteq$  in second argument.

## Formal Concept Analysis



**Figure 1.4** Concept lattice for the educational film “Living beings and water”.

(source: Ganter & Wille, Formal Concept Analysis, Springer, 1999.)



## Relation to Formal Concept Analysis (FCA)

(with Matthias Wendt, ICCS 2003)

Consider subposet  $D$  of all  $(\{b\}', \{b\}'')$ ,  $b \in M$ ,  
and all  $(\{a\}'', \{a\}')$ ,  $a \in G$ , ordered reversely (add  $\perp$ ).

If  $D$  is a coherent algebraic cpo (eg. all finite cases), then

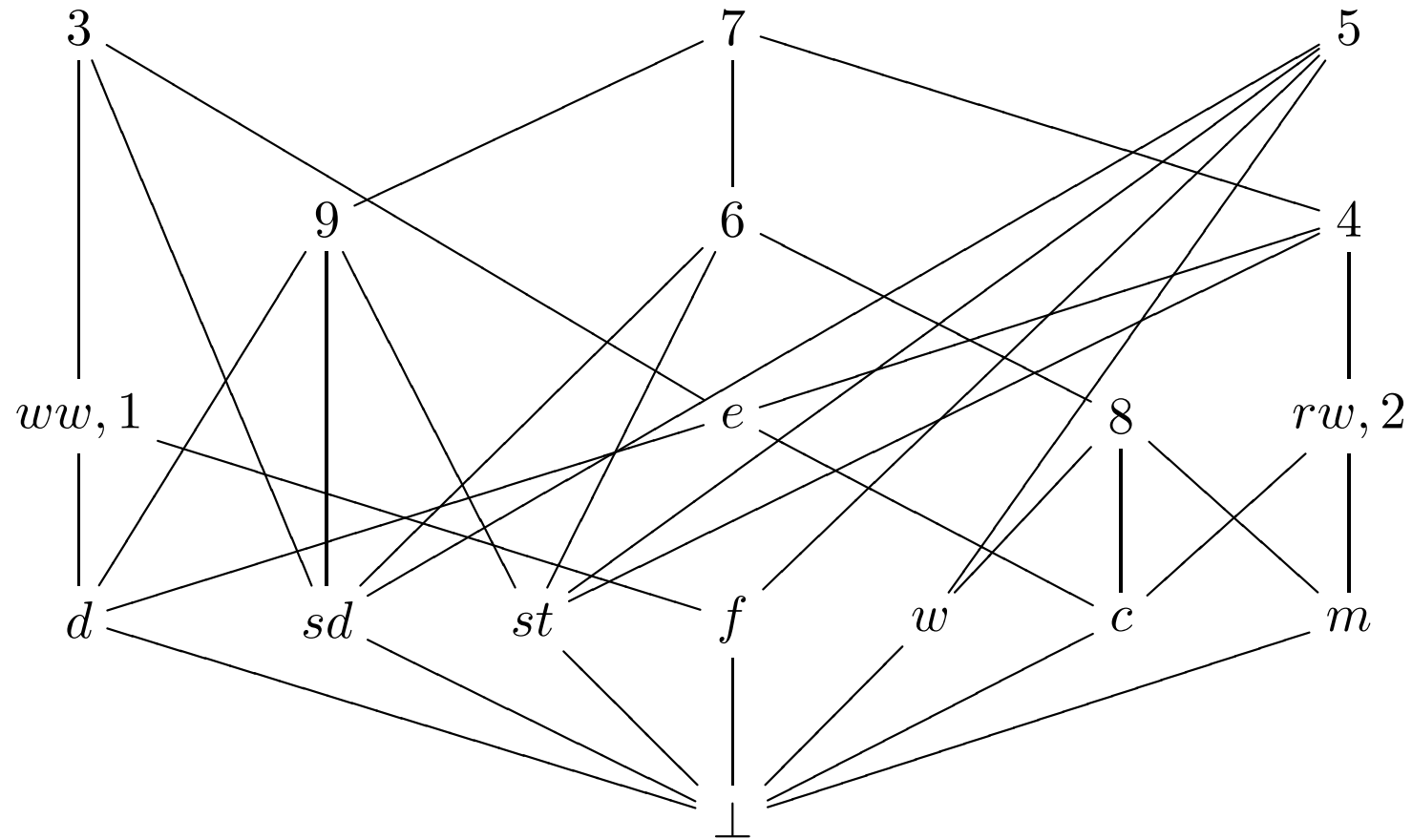
for  $K(D) \supseteq \{b_i \mid i \in I\} = B \subseteq M$  we have

$$B'' = \{b \in M \mid \{\{b_i\} \mid i \in I\} \models \{b\}\}.$$

## Relation to Formal Concept Analysis (FCA)

	salad	starter	fish	meat	red wine	white wine	water	dessert	coffee	expensive
1			×			×		×		
2				×	×			×		
3	×		×			×		×	×	×
4		×		×	×			×	×	×
5	×	×	×				×			
6	×	×		×			×		×	
7	×	×		×	×		×	×	×	×
8				×			×		×	
9	×	×						×		

# Relation to Formal Concept Analysis (FCA)



## Logic programming in coherent algebraic domains

(Rounds & Zhang 2001)

Add material implication:  $X \leftarrow Y$  for  $X, Y$  clauses.

$w \models P$ : if  $w \models Y$  for  $X \leftarrow Y \in P$ , then  $w \models X$ .

Propagation rule  $\text{CP}(P)$ :

$$\frac{X_1 \quad \dots \quad X_n; \quad a_i \in X_i; \quad Y \leftarrow Z \in P; \quad \text{mub}\{a_1, \dots, a_n\} \models Z}{Y \cup \bigcup_{i=1}^n (X_i \setminus \{a_i\})}$$

Semantic operator on theories:

$$\mathcal{T}_P(T) = \text{cons}(\{Y \mid Y \text{ is a } \text{CP}(P)\text{-consequence of } T\}).$$

- ▶  $\mathcal{T}_P$  is Scott continuous [RZ01].
- ▶  $\text{fix}(\mathcal{T}_P) = \text{cons}(P)$ .

## Additon of default negation

Extended rules:  $X \leftarrow Y, \sim Z$ .

$P$  program,  $T$  theory. Define  $P/T$ :

Replace  $Y, \sim Z$  by  $Y$  if  $T \not\models Z$ .

Remove rule if  $T \models Z$ .

$T$  answer theory for  $P$  if  $T = \text{cons}(P/T) = \text{fix}(\mathcal{T}_{P/T})$ .

## A version of default logic

Consider  $\mathbb{T}^\omega$ .

Clauses are the propositional formulae in disjunctive normal form.

Extended rules correspond to defaults.

Answer theories correspond to default extensions.

But logical consequence is not classical.

► On  $\mathbb{T}^\omega$  we obtain something akin to propositional default logic.

## Answer set programming

We do the same with *models*.

$P$  program,  $w \in D$ . Define  $P/w$ :

Replace  $Y, \sim Z$  by  $Y$  if  $w \not\models Z$ .

Remove rule if  $w \models Z$ .

$w$  *min-answer model* for  $P$  if  $w$  is minimal with  $w \models \text{fix}(\mathcal{T}_{P/w})$ .

## Answer set programming

Consider  $\mathbb{T}^\omega$ .

Consider programs  $P$  with rules  $X \leftarrow Y, \sim Z$  such that:

$Y$  singleton clause

$X, Y, Z$  contain only atoms in  $\mathbb{T}^\omega$  or  $\perp$

These programs are exactly extended disjunctive programs.

Min-answer models  $w$  correspond to *answer sets*  $\{L \text{ atom} \mid w \models \{L\}\}$ .



## Further Work

What *is* this version of default logic?

Syntactic extensions/integrating paradigms

(FCA) applications

Decidability issues